

**Attorney Docket No.: PD-1-CIP**

## DECLARATION AND POWER OF ATTORNEY FOR CONTINUATION IN PART PATENT APPLICATION

**As a below named inventor, I hereby declare that:**

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled:

## SURFACE MOUNT ASSEMBLY SYSTEM WITH INTEGRAL LABEL FEEDER

X the specification and claims of which are attached hereto, OR

\_\_\_\_\_ was filed on \_\_\_\_/\_\_\_\_/\_\_\_\_ as U.S. Application No. \_\_\_\_/\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, §1.56, including information which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim priority benefits under Title 35, United States Code, §119 of any foreign or U.S. Provisional application(s) for patent listed below to which I may be entitled from at least one of these prior application(s) for at least one present or future claim of this application: [This specification has also been amended to contain a specific reference to any applications listed here.]

**Prior Foreign or U.S. Provisional Application(s):**

(Number)	(Country)	(Day/Month/Year Filed)
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I hereby claim priority benefits under Title 35, United States Code §120, of any previously filed United States application(s), or under §365(c) of any PCT international application designating the United States, listed below to which I may be entitled from at least one of these following prior application(s) for at least one present or future claim of this application: [This specification has also been amended to contain a specific reference to any applications listed here.]

09/028,799                      02/24/1996                      pending  
(Application No.)                      (Filing Date)                      (Status: patented, pending, abandoned)

[illegible]

I hereby also identify below, and enclose copies of, the following United States, PCT, and foreign applications for patent that correspond to, or are equivalent to, the above identified prior application(s), that have been published prior to this application:

(Application Number)	(Day/Month/Year Published)	(Country)
1	1/1/1980	USA
2	2/2/1981	USA
3	3/3/1982	USA
4	4/4/1983	USA
5	5/5/1984	USA
6	6/6/1985	USA
7	7/7/1986	USA
8	8/8/1987	USA
9	9/9/1988	USA
10	10/10/1989	USA
11	11/11/1990	USA
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14	2/14/1993	USA
15	3/15/1994	USA
16	4/16/1995	USA
17	5/17/1996	USA
18	6/18/1997	USA
19	7/19/1998	USA
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21	9/21/2000	USA
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25	1/25/2004	USA
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27	3/27/2006	USA
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30	6/30/2009	USA
31	7/31/2010	USA
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91	7/91/2070	USA
92	8/92/2071	USA
93	9/93/2072	USA
94	10/94/2073	USA
95	11/95/2074	USA
96	12/96/2075	USA
97	1/97/2076	USA
98	2/98/2077	USA
99	3/99/2078	USA
100	4/100/2079	USA

Attorney Docket No.: PD-1-CP

(Application Number)

(Day/Month/Year Published)

(Country)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Duane C. Beach, Reg. No. 34,545; and  
Howard J. Greenwald, Reg. No. 24,247

**ADDRESS ALL CORRESPONDENCE TO:**

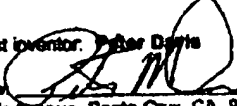
Attn: Duane C. Beach, Esq.  
Howard J. Greenwald, P.C.  
349 West Commercial Street  
East Rochester, NY 14445-2403

**DIRECT TELEPHONE CALLS TO:**

Duane C. Beach at 716-387-0280


I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name of sole or first inventor: Peter Davis

Inventor's Signature:   
Residence: 203 Fair Avenue, Santa Cruz, CA 95060  
Citizenship: USA  
Post Office Address: (Same as above)

Date: 4.10.00

Name of second joint inventor: Richard Howe

Inventor's Signature:   
Residence: 105 Sugar Tree Circle, Brockport, NY 14420  
Citizenship: USA  
Post Office Address: (Same as above)

Date: 4.13.00

Page 2 of 3

Attorney Docket No.: PD-1-CP

Name of third joint inventor: David W. Lyndaker

Inventor's Signature: David W. Lyndaker  
Residence: 3588 Stone Road, Middleport, NY 14105  
Citizenship: USA  
Post Office Address: (Same as above)

Date: 4.13.00

Name of fourth joint inventor: Scott Proctor

Inventor's Signature: Scott Proctor  
Residence: 61 Capen Road, Brockport, NY 14420  
Citizenship:  
Post Office Address: (Same as above)

Date: 4.13.00

Name of fifth joint inventor:

Inventor's Signature: \_\_\_\_\_  
Residence:  
Citizenship:  
Post Office Address: (Same as above)

Date: 1.1.1

Page 3 of 3

**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	09/550,030
Filing Date	August 22, 2002
First Named Inventor	Peter Davis
Title	See I in Addendum
Art Unit	1734
Examiner Name	Suc A. Purvis
Attorney Docket Number	PD-1-CIP-CPA

I hereby appoint:

☐ Practitioners at Customer Number:

OR

☒ Practitioner(s) named below:

Name	Registration Number
Duane C. Basch	34545
Michael J. Nickerson	33265

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☒ The address associated with Customer Number:

37211

OR

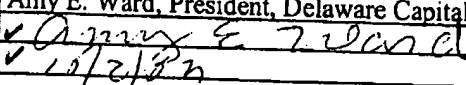
<input type="checkbox"/> Firm or Individual Name				
Address				
Address				
City		State		Zip
Country				
Telephone		Fax		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name	Amy E. Ward, President, Delaware Capital Formation, Inc.		
Signature			
Date	10/21/02	Telephone	(302) 478-1660

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Peter Davis, et al.

Application No./Patent No.: 09/550,030 Filed/Issue Date: August 22, 2002

Entitled: Surface Mount Assembly System with Integral Label Feeder

Delaware Capital Formation, Inc.

(Name of Assignee)

Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Peter Davis et al. To: Hover-Davis, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 9190, Frame 0087, or for which a copy thereof is attached.
2. From: Hover-Davis, Inc. To: Delaware Capital Formation, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 013352, Frame 0443, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.  
(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

10/2/02  
Date  
(302) 478-1660  
Telephone number

Amy E. Ward  
Typed or printed name  
Amy E. Ward  
Signature  
President, Delaware Capital Formation, Inc.  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JULY 28, 1998

PTAS

HARRIS BEACH & WILCOX, LLP.  
ROBERT C. BROWN  
130 EAST MAIN ST.  
ROCHESTER, NY 14604



UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION  
OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS  
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER  
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD  
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY  
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.  
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/24/1998

REEL/FRAME: 9190/0087  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
DAVIS, PETER

DOC DATE: 02/19/1998

ASSIGNOR:  
HOWE, RICHARD

DOC DATE: 02/20/1998

ASSIGNOR:  
LYNDAKER, DAVID W.

DOC DATE: 02/13/1998

ASSIGNOR:  
PROCTOR, SCOTT

DOC DATE: 02/13/1998

ASSIGNEE:  
HOVER-DAVIS, INC.  
10 TURNER DRIVE  
SPENCERPORT, NEW YORK 14559

SERIAL NUMBER: 09028790  
PATENT NUMBER:

FILING DATE: 02/24/1998  
ISSUE DATE:

# ASSIGNMENT

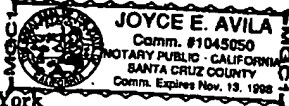
Docket No. ML-0469

For good and valuable consideration, we, Peter Davis, Richard Howe, David W. Lyndaker and Scott Protor, respectively, sell and assign to Hover-Davis, Inc., a New York corporation, its successors and assigns, the invention entitled LABEL FEEDER, invented by me and the application for United States Patent therefor executed on \_\_\_\_\_ and all foreign applications for Letters Patent for the invention including all priority rights, and all Patents which may be granted therefor, and all divisions, reissues, conversions, continuations, continuations-in-part, conversions and extensions thereof, and authorize and request the Commissioner of Patents to issue all Patents or said invention or resulting therefrom to said Corporation as assignee of the entire interest, and covenant that I have full right so to do, and agree that I will communicate to said Corporation or its representatives any facts known to us respecting said invention and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, conversion, reissue or extension applications, make all rightful oaths and generally do everything possible to aid said Corporation, its successors, assigns and nominees to obtain and enforce proper protection for said invention in all countries.

Peter Davis 2/19/98  
Peter Davis  
Richard Howe  
Richard Howe  
David W. Lyndaker  
David W. Lyndaker  
Scott Protor  
Scott Protor

STATE OF CALIFORNIA  
COUNTY OF Santa Cruz SS:

This 19 day of February, 1998, before me personally came the above-named Peter Davis, to me personally known as the individuals who executed the same of his own free will for the purposes therein set forth.



Joyce E. Avila  
Notary Public

STATE OF New York  
COUNTY OF Monroe SS:

This 20 day of February, 1998, before me personally came the above-named Richard Howe, to me personally known as the individuals who executed the same of his own free will for the purposes therein set forth.

Andres P. Quaranto  
Notary Public, State of New York  
Qualified in Orleans County  
Commission Expires Oct. 12, 1999

Andres P. Quaranto  
Notary Public

STATE OF New York  
COUNTY OF Monroe SS:

This 13 day of February, 1998, before me personally came the above-named David W. Lyndaker, to me personally known as the individuals who executed the same of his own free will for the purposes therein set forth.

Andres P. Quaranto  
Notary Public, State of New York  
Qualified in Orleans County  
Commission Expires Oct. 12, 1999

Andres P. Quaranto  
Notary Public

STATE OF New York  
COUNTY OF Monroe SS:

This 12 day of February, 1998, before me personally came the above-named Scott Protor, to me personally known as the individuals who executed the same of his own free will for the purposes therein set forth.

Andres P. Quaranto  
Notary Public

After this Assignment has been reported, please return to:

Martin LuKacher  
Attorney for Applicant(s)  
Harris Beach & Wilcox  
The Granite Building  
130 E. Main Street  
Rochester, New York 14604-1687  
Telephones: (716) 232-4440 Ext. 324

Andres P. Quaranto  
Notary Public, State of New York  
Qualified in Orleans County  
Commission Expires Oct. 12, 1999

10-09-2002

For  
(R)

OMB No. 102244875

Tab settings

ATION FORM COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Hover-Davis, Inc.

10-4-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: September 27, 2002

2. Name and address of receiving party(ies)

Name: Delaware Capital Formation, Inc.

Internal Address: Suite 102

Street Address: 1403 Foulk Road

City: Wilmington State: DE Zip: 19803

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

✓ 10/042,816

✓ 60/260,837

B. Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Duane C. Basch

Internal Address: Greenwald & Basch LLP

Street Address: 349 West Commercial Street, Suite

2490

City: East Rochester State: NY Zip: 14445

6. Total number of applications and patents involved: 25

7. Total fee (37 CFR 3.41).....\$ 1,000.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 50-1706  
FOR ADDITIONAL FEES or REFUNDS

50-1706

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Duane C. Basch # 34,545

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents 6

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

OFFICE OF PUBLIC RECORDS  
2002 OCT -4 AM 9:47  
FINANCE SECTION

10/08/2002 TBI021 00000230 10042816

11 FC1581

1000.00 00





JANUARY 14, 2003

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

GREENWALD & BASCH LLP  
DUANE C. BASCH  
349 WEST COMMERCIAL STREET, SUITE 2490  
EAST ROCHESTER, NY 14445



\*102244875A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/04/2002

REEL/FRAME: 013352/0443  
NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HOVER-DAVIS, INC.

DOC DATE: 09/27/2002

ASSIGNEE:

DELAWARE CAPITAL FORMATION, INC.  
1403 FOULK ROAD  
SUITE 102  
WILMINGTON, DELAWARE 19803

SERIAL NUMBER: 10042316✓  
PATENT NUMBER:

FILING DATE: 01/09/2002  
ISSUE DATE:

SERIAL NUMBER: 60260837✓  
PATENT NUMBER:

FILING DATE: 01/10/2001  
ISSUE DATE:

SERIAL NUMBER: 10042601✓  
PATENT NUMBER:

FILING DATE: 01/09/2002  
ISSUE DATE:

SERIAL NUMBER: 60260850✓  
PATENT NUMBER:

FILING DATE: 01/10/2001  
ISSUE DATE:



013352/0443 PAGE 2

SERIAL NUMBER: 60311217 ✓  
PATENT NUMBER:

FILING DATE: 08/09/2001  
ISSUE DATE:

SERIAL NUMBER: 10154560 ✓  
PATENT NUMBER:

FILING DATE: 05/24/2002  
ISSUE DATE:

SERIAL NUMBER: 60293397 ✓  
PATENT NUMBER:

FILING DATE: 05/24/2001  
ISSUE DATE:

SERIAL NUMBER: 09736823 ✓  
PATENT NUMBER:

FILING DATE: 12/14/2000  
ISSUE DATE:

SERIAL NUMBER: 60250670 ✓  
PATENT NUMBER:

FILING DATE: 12/01/2000  
ISSUE DATE:

SERIAL NUMBER: 09736772 ✓  
PATENT NUMBER: 6474527

FILING DATE: 12/14/2000  
ISSUE DATE: 11/05/2002

SERIAL NUMBER: 60377835 ✓  
PATENT NUMBER:

FILING DATE: 05/07/2002  
ISSUE DATE:

SERIAL NUMBER: 09550030 ✓  
PATENT NUMBER:

FILING DATE: 04/14/2000  
ISSUE DATE:

SERIAL NUMBER: 09684189 ✓  
PATENT NUMBER:

FILING DATE: 10/06/2000  
ISSUE DATE:

SERIAL NUMBER: 60410372 ✓  
PATENT NUMBER:

FILING DATE: 09/13/2002  
ISSUE DATE:

SERIAL NUMBER: 09897276 ✓  
PATENT NUMBER:

FILING DATE: 07/02/2001  
ISSUE DATE:

SERIAL NUMBER: 60216855 ✓  
PATENT NUMBER:

FILING DATE: 07/07/2000  
ISSUE DATE:

SERIAL NUMBER: 09996709 ✓  
PATENT NUMBER:

FILING DATE: 11/30/2001  
ISSUE DATE:

SERIAL NUMBER: 09025564  
PATENT NUMBER: 5976306 ✓

FILING DATE: 02/18/1998  
ISSUE DATE: 11/02/1999

SERIAL NUMBER: 09251541  
PATENT NUMBER: 6173750 ✓

FILING DATE: 02/17/1999  
ISSUE DATE: 01/16/2001

SERIAL NUMBER: 09558114  
PATENT NUMBER: 6402452 ✓

FILING DATE: 04/25/2000  
ISSUE DATE: 06/11/2002

SERIAL NUMBER: 09173590  
PATENT NUMBER: 6032845 ✓

FILING DATE: 10/15/1998  
ISSUE DATE: 03/07/2000

SERIAL NUMBER: 29135802  
PATENT NUMBER: D450608 ✓

FILING DATE: 01/16/2001  
ISSUE DATE: 11/20/2001

013352/0443 PAGE 3

SERIAL NUMBER:  
PATENT NUMBER:  
PCT NUMBER: US9903385 ✓

FILING DATE:  
ISSUE DATE:

SERIAL NUMBER:  
PATENT NUMBER:  
PCT NUMBER: US0141221 ✓

FILING DATE:  
ISSUE DATE:

TONYA LEE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Form PTO-1595  
(Rev. 03/01)

OMB No. 0851-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET  
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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

1. Name of conveying party(ies):

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: \_\_\_\_\_  
Internal Address: \_\_\_\_\_  
Street Address: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

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Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/042,601 ✓  
\_\_\_\_\_  
60/260,850 ✓  
\_\_\_\_\_  
60/311,217 ✓  
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10/154,560 ✓  
\_\_\_\_\_  
60/293,397 ✓  
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B. Patent No.(s)

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Additional numbers attached?

☒ Yes ☐ No

RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

1. Name of conveying party(ies):

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☐ Yes ☒ No

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A. Patent Application No.(s)

09/736,823  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
60/250,670

B. Patent No.(s)

\_\_\_\_\_  
5,976,306  
6,173,750  
6,402,452  
\_\_\_\_\_

Additional numbers attached?

☒ Yes ☐ No

Form PTO-1595  
(Rev. 03/01)

OMB No. 0851-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET  
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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

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☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/736,772 ✓  
\_\_\_\_\_  
60/377,835 ✓  
09/550,030 (PD-1-CIP) ✓  
09/550,030 (PD-1-CIP-CPA) ✓

B. Patent No.(s)

\_\_\_\_\_  
6,032,845  
\_\_\_\_\_  
\_\_\_\_\_  
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Additional numbers attached?

☒ Yes ☐ No

Form PTO-1595  
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

1. Name of conveying party(ies):

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Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

PCT/US99/03385  
\_\_\_\_\_  
09/684,189 ✓  
60/410,372 ✓  
09/897,276 ✓

B. Patent No.(s)

\_\_\_\_\_  
D 450,608  
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Additional numbers attached?

☒ Yes ☐ No

Form PTO-1595  
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET  
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Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

60/216,855 ✓  
\_\_\_\_\_  
PCT/US01/41221  
\_\_\_\_\_  
09/996,709 ✓  
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B. Patent No.(s)

\_\_\_\_\_  
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\_\_\_\_\_

Additional numbers attached?

☐ Yes ☒ No



Assignor: Hover-Davis, Inc. to Assignee: Delaware Capital Formation, Inc.

### PATENT ASSIGNMENT

WHEREAS, Hover-Davis, Inc., of Rochester, New York 14624 ("Assignor") owns certain right, title and interest in, to and under the improvements and the applications of various issued patents and pending applications set forth in the attached Patent Assets Schedule, and

WHEREAS, Delaware Capital Formation, Inc., of Wilmington, Delaware 19803 ("Assignee") is desirous of obtaining Assignor's right, title and interest in, to and under the said improvements and the said applications as referred to in the attached Patent Assets Schedule;

NOW THEREFORE, in consideration of the exchange of good and valuable consideration as set forth in the Asset Purchase Agreement dated September 6, 2002, the receipt of which is hereby acknowledged, Assignor has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto the Assignee, its successors, legal representatives and assigns, Assignor's entire right, title and interest in, to:

a) the patents and the applications as set forth in the attached Patent Assets Schedule, and including all divisions, renewals and continuations thereof and all patents of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for patents which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all patents which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

b) all of Assignor's priority rights under the International Convention for the Protection of Industrial Property relating to the patents and the applications as set forth in the attached Patent Assets Schedule; and

c) the right to sue and recover for past infringements.

Assignor further agrees to cooperate with Assignee and to execute and deliver to Assignee all papers, instruments, and assignments, as may be necessary to vest such right, title and interest in and to the Patent Assets to Assignee.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 27 day of September, 2002.

John D. Hover  
John D. Hover, President/CEO  
Hover-Davis, Inc.

STATE OF New York  
COUNTY OF Monroe: ss.:

On this 27 day of September, 2002, before me, a Notary Public in and for the State and County aforesaid, personally appeared John D. Hover, to me known to be the person of that name, who signed and sealed the foregoing instrument and he acknowledges the same to be his free act and deed.

seal

Alan S. Lockwood  
Notary Public

ALAN S. LOCKWOOD  
Notary Public, State of New York  
Ontario County  
Commission Expires 12/31/03

Assignor: Hover-Davis, Inc. to Assignee: Delaware Capital Formation, Inc.

**Patent Assets Schedule**

TYPE	DOCKET NO.	SERIAL NO.	FILING DATE	TITLE	PATENT	ISSUE DATE
<u>Issued Patents</u>						
	HD-1	09/025,564	02/18/1998	Method and Apparatus for Removing Die From an Expanded Wafer and Conveying Die to A Pickup Location	5,976,306	11/02/1999
	JP-1	09/173,590	10/15/1998	Variable Pitch Tape Feeder and Pitch Selection Switch Therefor	6,032,845	03/07/2000
	HD-DDF-3	09/251,541	02/17/1999	Method And Apparatus For Removing Die From A Wafer And Conveying Die To A Pickup Location	6,173,750	01/16/2001
	SP-1D	29/135,802	01/16/2001	Carrier Tape Pitch Gauge	D 450,608	11/20/2001
	JGM-1	09/558,144	04/25/2000	Carrier Tape Feeder With Cover Tape Parting	6,402,452	06/11/2002
	JGM-3	09/736,772	12/14/2000	Multiple-Pitch Tape Feeder With Multiple Peel Positions	Allowed Application, Fee Paid, Issue Date TBD	
<u>Pending Applications</u>						
	PD-1-PCT (DDF-PCT)	PCT/US99/03385	02/17/1999	Method and Apparatus for Removing Die from a Wafer and Conveying Die to a Pickup Location		
	PD-1-PCT-EUR	99908205.0	02/17/1999	Method and Apparatus for Removing Die from a Wafer and Conveying Die to a Pickup Location		
	PD-1-PCT-JAPAN	2000-5322730	02/17/1999	Method and Apparatus for Removing Die from a Wafer and Conveying Die to a Pickup Location		
	PD-1-PCT-SING	200003683-0	02/17/1999	Method and Apparatus for Removing Die from a Wafer and Conveying Die to a Pickup Location		
	PD-1-CIP	09/550,030	04/14/2000	Label Feeder		
	PD-1-CIP-CPA	09/550,030	04/14/2000	Surface Mount Assembly System with Integral Label Feeder		
	PD-3	09/684,189	10/06/2000	Die Shuttle Conveyor And Nest Therefor		

Assignor: *Hover-Davis, Inc.* to Assignee: *Delaware Capital Formation, Inc.*

TYPE	DOCKET NO.	SERIAL NO.	FILING DATE	TITLE	PATENT	ISSUE DATE
	JGM-2	09/736,823	12/14/2000	Tape Feeder With Splicing Capabilities		
	PD-2	09/897,276	07/02/2001	Component Source Interchange Gantry		
	PD-2-PCT	PCT/US01/41221	07/02/2001	Component Source Interchange Gantry		
	SEM-1	09/996,709	11/30/2001	Tape Feeder with Improved Cover Tape Disposition Path and Drive		
	ARR-1	10/042,816	01/09/2002	Cover Tape Cutting System Using a Thermal Energy Source		
	DL-1	10/042,601	01/09/2002	Revolving Cover Tape Reservoir for a Component Feeder		
	DWL-2-3	10/154,560	05/24/2002	Auto-Loading Component Tape Feeder		
<b>Provisional Applications</b>						
	PD-2P	60/216,855	07/07/2000	Wafer Interchange Gantry		
	SEM-1P	60/250,670	12/01/2000	Spliceable Tape Feeder With An Improved Cover Tape Path And Cover Tape Drive		
	ARR-1P	60/260,837	01/10/2001	Radiant Beam Cover Tape Cutting System		
	DL-1P	60/260,850	01/10/2001	Revolving Cover Tape Reservoir For A Component Feeder		
	DWL-2P	60/293,397	05/24/2001	Self-Threading Component Tape Feeder		
	DLW-3P	60/311,217	08/09/2001	Self-Threading Carrier Tape Feeder With Batching Capability		
	MSPD-1	60/377,835	05/07/2002	Method for Accelerated Bare Die Removal From a Wafer		
	RS/PD-1P	60/410,372	09/13/2002	Method and Apparatus to Extract a Die From a Wafer With the Use of an Inclined Plane		